

DIR Internal Audit

Fiscal Year 2015 Internal Audit Annual Plan

14-503

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Internal Audit Director



Texas Department of Information Resources
Austin, Texas

Background

This report provides the details of the Fiscal Year 2015 Internal Audit Annual Plan. This report is part of the Fiscal Year 2014 Internal Audit Plan approved by the DIR Board. The purpose of this report is to comply with the Texas Internal Audit Auditing Act that requires the Internal Auditor to develop an annual audit plan, conduct audits as specified in the audit plan, and document deviations, if any. The annual audit plan must be approved by the state agency's governing board.

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The DIR Board approved the Fiscal Year 2015 Internal Audit Plan on October 23, 2014.

Project Code	Audit Projects	Projected Hours
15-100	Internal Audits:	
14-101	Cooperative Vendor Audit (carry-forward from Fiscal Year 2014)	650
	Data Center Program Services	750
	Performance Measures	200
	Enterprise Contract Management	550
15-200	Board and Management Projects:	
	Reserved for Board and Management Projects	250
15-300	Required Projects (by law or audit standards):	
	Continuing Professional Education	180
	Internal Audit Annual Report for Fiscal Year 2015	80
	Internal Audit Risk Assessment / Audit Plan for Fiscal Year 2016	160
	Internal Audit Annual Assessment	80
	Follow-up on Audit Recommendations	400
15-400	Other Projects:	
	External Audit Coordination	40
	Workgroups / Governance Boards	40
	Implementation of TeamMate Audit Management Software	240

Fiscal Year 2015 Internal Audit Annual Plan

APPROVED:



Cynthia Villa
Finance and Audit Subcommittee Chair



Date



Lissette Nadal-Hogan
Director of Internal Audit



Date:

APPROVAL ROUTING SLIP

DEPARTMENT OF INFORMATION RESOURCES

Before Submitting Approval Routing Slip to the Executive area:

- Make sure all documents are proofed and in final format
- Place the document submitted for approval in the right pocket of a green folder and any supporting documentation in the left pocket
- Attach the Approval Routing Slip to the front of the green folder

Subject: Fiscal Year 2015 Internal Audit Annual Plan

Request Date: October 16, 2014

Initiated By: Lissette Nadal-Hogan

Due Date / Time: October 29, 2014

Summary: The Internal Audit Annual Plan is a formal document to comply with the Texas Internal Audit Auditing Act that requires the Internal Auditor to develop an annual audit plan, conduct audits as specified in the audit plan, and document deviations, if any. The annual audit plan must be approved by the state agency's governing board.

APPROVALS	INITIALS	DATE / TIME	COPY? (Y/N)
Lissette Nadal-Hogan Internal Audit Director		10/16/14 / 2:20 pm	Y
Karen Robinson Executive Director		10/16/14	
Cynthia Villa Finance and Audit Subcommittee Chair		10/23/14	N

Comments:
